

UNITED STATES BANKRUPTCY COURT  
NORTHERN DISTRICT OF TEXAS

In Re:  
Highland Capital Management, L.P.

UBS Securities LLC et al.

VS.

Highland Capital Management, L.P., Defendant  
Defendant(s)

Case No.: 19-34054-sgj11

Chapter No.: 11

Adversary No.: 21-03020-sgj

## SUMMONS IN AN ADVERSARY PROCEEDING

To the above-named defendant:

You are hereby summoned and required to serve upon **Martin A. Sosland**, Plaintiff's attorney (or if Plaintiff is not represented by counsel, upon Plaintiff), whose address is **Butler Snow LLP**

**2911 Turtle Creek  
Suite 1400**

**Dallas, TX 75219** , either a motion or an answer to the complaint which is now served upon you. If you elect to respond first by motion, as you may pursuant to Fed. R. Bankr. P. 7012, that governs the time within which your answer must be served. Otherwise, you are required to serve your answer upon Plaintiff's attorney (or upon Plaintiff if Plaintiff is not represented by counsel) within 30 days of the date of issuance of this summons by the clerk (or by the following date prescribed by the court: N/A) except that the United States or an office or agency thereof shall serve an answer to the complaint within 35 days after the date of issuance of the summons.

*{If this summons and complaint is served in a foreign country}* Service of your answer must be made by the following date prescribed by the court N/A.

The motion or answer served by you must be filed with this court before service or within a reasonable time after service. **IF YOU FAIL TO RESPOND IN ACCORDANCE WITH THIS SUMMONS, JUDGMENT BY DEFAULT WILL BE TAKEN AGAINST YOU FOR THE RELIEF DEMANDED IN THE COMPLAINT.**

DATED: 4/1/21

FOR THE COURT:  
Robert P. Colwell, Clerk of Court

by: /s/Michael Edmond, Deputy Clerk



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In Re: UBS Securities LLC et al v. Highland Capital Management, L.P.  
Case No. 19-34054-sgj11 -11  
Adv. No. 21-03020-sgj

**SUMMONS SERVICE EXECUTED**

I, Velvet G. Johnson  
of\*\* Butler Snow LLP  
certify:

If service was made by personal service, by residence service, or pursuant to state law, I further certify that I am, and all times during service of process was, not less than 18 years of age and not a party to the matter concerning which service of process was made;

That on the 1st day of April, 2021, I served a copy of the within summons, together with the complaint filed in this proceeding, on

James P. Seery, Jr.  
Chief Executive Officer  
Highland Capital Management, L.P.  
300 Crescent Court, Suite 700  
Dallas, TX 75201

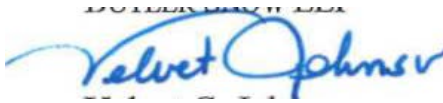
the defendant in this proceeding, by *{describe here the mode of service}*

I mail, by U. S. Mail, first class, a copy of the Summons, Complaint, Plaintiffs' Motion for a Temporary Restraining Order and Preliminary Injunction, Appendix of Exhibits and the Plaintiffs' Memorandum of Law in Support of Motion for a Temporary Restraining Order and Preliminary Injunction.

the said defendant at

I certify under penalty of perjury that the foregoing is true and correct.

Executed on 4/5/2021  
(Date)

  
(Signature)

\*\* P. O. Box 6010, Ridgeland, MS 39158  
State mailing address